

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SUTTIE, Peter J.

Serial No.: 10/654,768

Filed: 04 September 2003

Title: Method and System for
Facilitating No-Break Power
Transfer

ATTENTION: Licensing and Review

STATEMENT UNDER 42 U.S.C. 2457

I, Peter J. Suttie, hereby declare that:

1. I made and conceived the invention described and claimed in the above-identified patent application;

2. I made and conceived this invention while employed by Hamilton Sundstrand Corporation. That the invention is related to the work I am employed to perform and was made within the scope of my employment duties; that the invention was made during working hours and with the use of facilities, equipment, materials, funds, information, and services of Hamilton Sundstrand Corporation;

3. That to the best of my knowledge and belief: the invention was not made (conceived or first actually reduced to practice) under, nor is there any relationship of the invention to the performance of any work under, any contract of the National Aeronautics and Space Administration;

4. The undersigned inventor declares further that all statements are made herein of the inventor's own knowledge are true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: _____

Peter J. Suttie

Post Office Address: _____

Date: _____

4400 RUFFIN ROAD, SAN DIEGO, 92123

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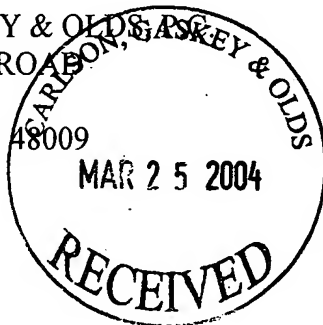


UNITED STATES DEPARTMENT OF COMMERCE
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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET N.
✓ 10/654,768	✓ 09/04/03	SUTTIE	67,036-025;B05756-AT6

CARLSON, GASKEY & OLDS
400 WEST MAPLE ROAD
SUITE 350
BIRMINGHAM, MI 48009



EXAMINER	
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**IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A
FORMAL REQUIREMENT WILL BE ISSUED**

The subject matter of this application appears to:

☐ be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).

☒ have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency(ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example *must* appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 305-1101

**PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE
ATTENTION OF LICENSING AND REVIEW**

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: Licensing and Review

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on April 20, 2004

Date

Beth A. Beard

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Typed or printed name of person of signing Certificate

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